

Anti-Doping Organization of Hong Kong, China Whereabouts Policy

According to the Anti-Doping Rules of Anti-Doping Organization of Hong Kong, China (“ADOHK”), athletes under the jurisdiction of ADOHK shall be subject to testing anytime and anywhere.

In order to facilitate the implementation of the Testing Programme, including no advance notice out-of-competition testing, ADOHK has to establish Testing Pools and collect whereabouts information from the athletes.

ADOHK Testing Pools

ADOHK has 2 Testing Pools: The Registered Testing Pool (RTP) and the Elite Testing Pool (ETP). Athletes being included in RTP or ETP and their corresponding National Sports Associations (NSA) will be notified by ADOHK.

The criteria for including athletes in the RTP or ETP are based on the World Anti-Doping Agency’s (WADA) International Standard for Testing and Investigations (ISTI). ADOHK will regularly update the Testing Pools list based on a variety of parameters, including athletes’ latest ranking in their sports, inclusion in their International Federation’s RTP, athletes’ sport performance and sport-specific risk assessment, etc.

ADOHK shall have the final decision on the inclusion of athletes in the Testing Pools and shall not discuss the reasons for including a particular athlete in the RTP or ETP.

Whereabouts Requirements for Athletes included in the ADOHK Testing Pools:

	RTP	ETP
Whereabouts Submission		
To be submitted on a <u>quarterly basis</u> . The deadlines for each quarter are 15 December, 15 March, 15 June, and 15 September.	✓	✓
Whereabouts <u>shall be updated</u> as necessary so that it remains accurate and complete at all times.	✓	✓
Whereabouts Items		
To provide a full address of the place where the athlete will be staying overnight for each day, i.e. the <u>daily overnight residence</u> .	✓	✓
To provide training and competition schedules or schedule of other regular activities which includes the detailed address and time between 6 a.m. and 11 p.m.	✓ Everyday	✓ At least 3 days per week
To specify a <u>60-minute time slot</u> between 5 a.m. and 11 p.m. for each day, during which the athlete is available and accessible at the specified location for testing.	✓	

Results Management of Whereabouts Failures for athletes in the Registered Testing Pool (RTP)

1. Athletes in RTP shall strictly follow the latest International Standard for Testing and Investigations in terms of whereabouts requirements and consequence of failure to comply with the requirements.
2. Any RTP athlete failed to meet the whereabouts requirements will have committed a Whereabouts Failure. There are two kinds of Whereabouts Failures, namely Filing Failure and Missed Test.
3. Filing Failure is a failure by the athlete (or by a third party to whom the athlete has delegated the task) to make an accurate and complete whereabouts filing that enables the athlete to be located for testing at the times and locations set out in the whereabouts filing or to update that whereabouts filing where necessary to ensure that it remains accurate and complete, all in accordance with Article 4.8 of the International Standard for Testing and Investigations and Annex B.2 of the International Standard for Results Management.
4. Missed Test is a failure by the athlete to be available for testing at the location and time specified in the 60-minute time slot identified in their whereabouts filing for the day in question, in accordance with Article 4.8 of the International Standard for Testing and Investigations and Annex B.2 of the International Standard for Results Management.
5. Results Management for Whereabouts Failures shall be processed according to the International Standard for Results Management and the International Standard for Testing and Investigations in force. No consequences for a failure to submit whereabouts prior to the first day of the quarter shall apply according to the International Standard for Testing and Investigations.
6. Pursuant to the Anti-Doping Rules of ADOHK and the World Anti-Doping Code, any combination of three (3) Filing Failures and/or Missed Tests committed within a 12-month period shall constitute an anti-doping rule violation.

Results Management of Whereabouts Non-compliance for athletes in the Elite Testing Pool (ETP)

1. Whereabouts requirements and consequence of failure to comply with the requirements for athletes in ETP are defined by ADOHK.
2. ETP athlete commits Whereabouts Non-compliance if
 - 2.1 The athlete (or by a third party to whom the athlete has delegated the task) failed to make an accurate and complete whereabouts information that enables the athlete to be located for testing in accordance with the corresponding requirements listed above.
 - 2.2 Whereabouts submitted is not accurate or not updated and therefore the athlete was not available for testing resulting on an unsuccessful attempt.

3. If ADOHK suspects a Whereabouts Non-compliance, the ADOHK Office will confirm that the athlete has been notified of his or her responsibility in whereabouts requirements. Next, the ADOHK Office will confirm that the athlete failed to comply with the requirements listed above. No consequences for a failure to submit whereabouts prior to the first day of the quarter shall apply.
4. The athlete will receive a notification no later than 14 days from the date of the discovery of the Whereabouts Non-compliance and will be requested to provide an explanation to ADOHK, within 14 days from the date of the initial notification. The ADOHK Office will review any written response received from the athlete and conduct further investigation, if necessary, to determine whether a Whereabouts Non-compliance shall be recorded. Next, the athlete will receive a notice of ADOHK’s Office decision within 14 days from receipt of the athlete’s response.
5. Any combination of three (3) Whereabouts Non-compliances committed within a 12-month period shall constitute a violation of ADOHK’s whereabouts requirements.

Possible consequences for Whereabouts Failures/ Whereabouts Non-compliances

Registered Testing Pool (RTP)	Elite Testing Pool (ETP)
Accumulation of 3 Filing Failures and/or Missed Tests committed within a 12-month period shall constitute an anti-doping rule violation that may result in up to two years of ineligibility.	Athletes having accumulated 3 Whereabouts Non-compliances within a 12-month period shall be transferred to RTP which requires stricter whereabouts requirements.

If there is any Whereabouts Failure / Whereabouts Non-compliance recorded on the athlete’s file at the time his/her status in the ADOHK Testing Pool changes, the existing record should be reclassified according to the principles below.

- Any record of Whereabouts Failure will be reclassified as Whereabouts Non-compliance should the athlete be relocated from RTP to ETP. However, Whereabouts Non-compliance attained by athlete in ETP will not be recognized as Whereabouts Failure when the athlete is moved from ETP to RTP.
- If the athlete later returns to the original Testing Pool, the original grading at Whereabouts Failure / Whereabouts Non-compliance will be restored to the athlete’s file. Should these records lay within the 12-month period, the record could be used to support sanction to be applied.

The table below lists how the whereabouts records will be reclassified under various scenarios:

	Change of status in the ADOHK Testing Pool	Original record	Record after reclassification
1	RTP → ETP	Whereabouts Failure	Whereabouts Non-compliance
2	ETP → RTP	Whereabouts Non-compliance	No record